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Citation for published version:

Bidare, P, Bitharas, I, Ward, RM, Attallah, MM & Moore, AJ 2018, 'Fluid and particle dynamics in laser powder bed fusion', *Acta Materialia*, vol. 142, pp. 107-120. <https://doi.org/10.1016/j.actamat.2017.09.051>

Digital Object Identifier (DOI):

[10.1016/j.actamat.2017.09.051](https://doi.org/10.1016/j.actamat.2017.09.051)

Link:

[Link to publication record in Heriot-Watt Research Portal](#)

Document Version:

Peer reviewed version

Published In:

Acta Materialia

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Accepted Manuscript

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PII: S1359-6454(17)30817-0

DOI: [10.1016/j.actamat.2017.09.051](https://doi.org/10.1016/j.actamat.2017.09.051)

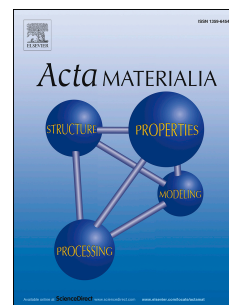
Reference: AM 14081

To appear in: *Acta Materialia*

Received Date: 19 July 2017

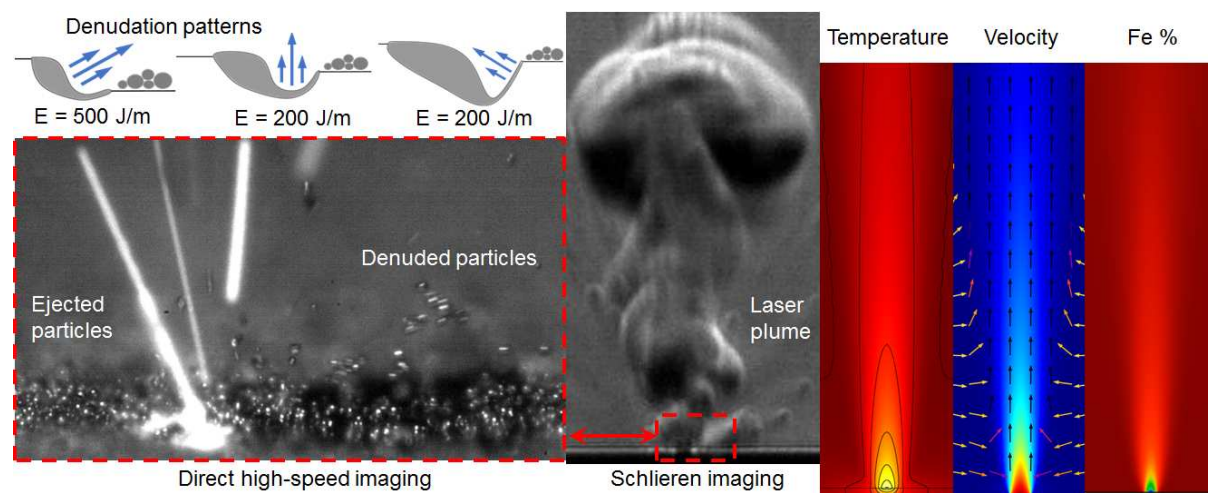
Revised Date: 5 September 2017

Accepted Date: 21 September 2017



Please cite this article as: P. Bidare, I. Bitharas, R.M. Ward, M.M. Attallah, A.J. Moore, Fluid and particle dynamics in laser powder bed fusion, *Acta Materialia* (2017), doi: 10.1016/j.actamat.2017.09.051.

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Abstract

In this work, we employ a combination of high-speed imaging and schlieren imaging, as well as multiphysics modelling, to elucidate the effects of the interaction between the laser beam and the powder bed. The formation of denuded areas where the powder was removed during single line and island scans over several layers were imaged for the first time. The inclination of the laser plume was shifted from forwards to backwards by changing power and scan speed, resulting in different denudation regimes with implications to the heat, mass and momentum transfer of the process. As the build progressed, denudation became less severe than for a single powder layer, but the occurrence of sintered and fused powder agglomerates, which were affected by the plume, increased. Schlieren imaging enabled the visualisation of the Ar gas flow, which takes place in the atmosphere above the bed due to the plume, in addition to its interaction with affected particles. Numerical modelling was used to understand and quantify the observed flow behaviour, through the hydrodynamic treatment of the laser plume as a multi-component Ar-Fe plasma. These results promote the characterisation of fluid dynamic phenomena during the LPBF process, which constitutes a key factor in the prevention of defects in additively manufactured parts.

Keywords: High-speed imaging, in situ, Powder consolidation, Finite element modelling (FEM), Theory and modelling (kinetics, transport, diffusion)

1. Introduction

Detailed imaging of the interaction between the laser beam and the powder bed whilst building multiple layer islands with metal powder bed fusion (PBF) has not been reported previously. It is extremely challenging to record images through the viewing window of commercial PBF systems with sufficient magnification or contrast to see individual powder particles in the powder bed. Higher magnification images have so far focussed on the melt pool whilst scanning a single laser track in a representative powder layer. In this paper, we report detailed, in-process imaging of the interaction of the laser beam with the powder bed during the PBF build of fully dense parts. We demonstrate that the PBF process is more dynamic than is generally appreciated and involves considerable motion of the powder particles and agglomerates in and above the powder bed. This motion is driven by the laser-induced plume of metal vapour and plasma above the melt pool. We therefore develop the first finite element model for PBF that incorporates the laser plume and inert atmosphere in order to explain the observed motion of the fluids and powder particles in and above the powder bed.

Metal powder bed fusion (PBF) is a category of additive manufacture (AM) process in which thermal energy selectively fuses regions of a powder bed [1]. Commercial PBF systems are already used to manufacture production components; but these components generally require intensive, part-specific process setting refinement to reduce distortions caused by residual stresses, to determine process settings

that reduce defects and to determine acceptable positions for support structures. In the future, software will simulate a full-build and reduce the time required to determine process settings, but that approach still requires better process understanding so that residual stresses, porosity and surface finish are predicted reliably for different process regimes.

Imaging of the PBF process is one approach being used to achieve this improved understanding. Qiu *et al.* [2] undertook a systematic study of the effect of laser scan speed and powder layer thickness on porosity using a commercial Concept Laser M2 system. SEM images of sample surfaces revealed an increase in surface roughness and weld track irregularity when either the scan speed or powder layer thickness were increased above certain (process-specific) thresholds. Increases in surface roughness were correlated with an increase in internal porosity. High-speed videos were recorded at 10, 000 frames per second (fps) through the system viewing window. The optical resolution of $\sim 150\text{ }\mu\text{m}$ per pixel was insufficient to resolve individual powder particles and their interaction with the laser, although the number of incandescent powder particles ejected backwards from the melt pool was observed to increase with increased thickness of the powder layer. Grasso *et al.* [3] recorded images with a similar magnification at 300 fps through the viewing window of a Renishaw AM250 system. Again, the interaction of the laser with the powder particles could not be resolved. However, the intensity evolution through time at each pixel from an image sequence was successfully used to identify areas of the powder layer that experienced overheating and therefore the location of potential defects.

Higher magnification imaging of the melt pool in a representative powder layer was reported by Matthews *et al.* [4], who investigated the depletion of metal powder particles in the zone immediately surrounding the solidified track (denudation), which can affect porosity and surface roughness. It was proposed that denudation at ambient pressure is caused by the intense evaporation of metal vapour from the melt pool, which produces an inward flow of the ambient gas towards the melt track due to the Bernoulli effect. The inward flow of the ambient gas is sufficient to entrain powder particles, which can become incorporated into the melt pool or ejected with the metal vapour. Imaging of the melt pool with an optical resolution of $\sim 5\text{ }\mu\text{m}$ per pixel enabled this particle motion to be observed, with particles ejected backwards with respect to the scan direction [4] or vertically upwards [5], depending on the process setting. The experimental system for imaging comprised scanning a single laser track in a powder layer that had been spread manually on to a metal substrate, protected with an inert gas from a localized jet. Gunenthiram *et al.* [6] noted the complexity of performing diagnostics during the PBF build process and so recorded single track images in a similar system to [4] but with a powder layer that moved on a translation stage below the laser. Motion of powder particles towards the melt pool due to the metal vapour was again observed, but again high magnification on the melt pool prevented the formation of the denuded region from being imaged. Zhao *et al.* [7] used synchrotron radiation to image the interaction of 1 ms laser pulses with a powder layer at 50,000 fps. Vapour-driven particle motion was observed and, additionally, the dynamic keyhole development beneath the powder bed could be seen. In this case, the powder bed was only $450\text{ }\mu\text{m}$ wide to enable transmission of the x-rays and a single laser spot was illuminated.

These single track and single spot measurements should prove useful for informing powder-level numerical models but are not part of a characterised build process. To date, the behaviour of the powder bed away from the melt pool has not been imaged, nor have the effects of scanning adjacent tracks and multiple layers during a full build been investigated.

Despite the direct impact of the ambient gas on the process, state of the art powder-scale models [8,9] do not yet include any interaction between the melt flow and atmosphere. In such models, the recoil pressure generated by the evaporated gas is calculated by the Clausius-Clapeyron equation [10] and used as a contribution to the momentum in the melt pool; however, transport phenomena in the gas or plasma phase above the powder bed based on the evaporated mass are not modelled. Approximate calculations in [5] based on the model in [8] estimated the vapour ejection velocity at $\sim 700\text{ m/s}$. Heat transfer calculations

suggest that temperatures during PBF sufficiently high to form metal vapour and plasma [11], which is supported by the physical observation of light emission from the laser plume, but the associated phase change dynamics from liquid to vapour and plasma have not been modelled to date. Masmoudi *et al.* [12] did model the diffusion of iron vapour into the argon atmosphere above the powder bed using a meso-scale finite volume model. However, the laser plume was not modelled: instead an assumed mass fraction from elements that exceeded the vaporization temperature of the metal was used as vapour input to the gas phase. As a consequence, the estimated maximum vapour flow velocity was < 50 m/s at atmospheric pressure, which is insufficient to account for the particle motion observed experimentally. Furthermore, convective and diffusive species transport incorporating the physical properties for a multicomponent Ar/Fe mixture were not included.

In the work presented here, detailed high-speed imaging of the interaction of the laser with the powder bed is shown at a range of laser powers and scan speeds. We observed that powder particles entrained in the atmospheric gas flow were drawn in towards the melt pool and ejected backwards with respect to the scan direction or vertically upwards at different process settings, as reported previously. But by imaging the wider powder bed, we could image the denudation produced by these effects for the first time. We also observed effects that have not been reported previously at other process settings, including the forwards ejection of entrained particles and denudation arising due to their interaction with the laser plume, and particles being driven *away* from the melt track at atmospheric pressure. More importantly, the imaging has been extended from single tracks to multilayer builds, in order to observe for the first time how these effects manifest in the powder bed during a full build.

Having noted the impact of the ambient gas on the PBF process, we develop a pragmatic finite element (FE) model for the powder bed and fluid dynamics observed, including a hydrodynamic treatment of the laser-induced plume and atmospheric flow for the first time in PBF. We draw on the literature concerning evaporative and plasma phenomena occurring in arc welding, laser welding and laser ablation studies as a basis for the analysis in the context of PBF. We use high-speed schlieren imaging for gas flow visualization of the density gradients in the gas flow, not attempted for PBF previously, both to elucidate aspects of the physical phenomena involved and also to validate the FE model. The modelling and schlieren imaging support our direct, high-speed imaging observations of gas flow-induced powder particle motion in the PBF process.

2. Experimental system

We have previously reported the design and characterisation of an open architecture PBF system for in-situ measurements during PBF [13], which is shown schematically in Fig. 1. A key feature of the system is computer control for the automated build of fully dense components. The computer controls the vertical movement of the build plate between layers, the movement of the silicon cord powder spreader and the laser illumination and scanning. The system has complete flexibility for laser power and speed, and scan geometry including hatching. Hence it is possible to achieve high resolution imaging not only whilst melting single tracks in the powder bed, but also during multiple layer builds under conditions known to produce parts with $>99\%$ density. Minor modifications were made to the top and end faces of the Perspex shielding chamber to incorporate viewing windows. For top views of the powder bed, a window of diameter 50 mm made from infra-red absorbing KG glass was added, Fig. 1. Side viewing windows were added, made from Zerodur glass flats of diameter 75 mm, polished to $\lambda/4$ on both surfaces.

All the experiments reported here were undertaken with gas-atomized stainless steel 316L powder (Renishaw PLC) with particle diameters in the range 15 to 45 μm and a mean diameter of 30 μm [14]. Powder layers were melted on to 80 \times 40 mm² stainless steel 304L build plates (coupons) of thickness 2 mm, using a single mode fibre laser (SPI 400 W continuous wave, 1070 nm) which was scanned over the powder surface (Raylase MS-II-14 scanner with 163 mm focal length f-theta lens). The coupon surfaces

were roughened by manual, circular rubbing with P400 sandpaper. The powder layer thickness for particular experiments is noted in the relevant sections below. Light from the laser was focussed to a spot with a Gaussian beam profile and $4D\sigma$ diameter of 50 μm in both the x- and y-directions, measured with an Ophir Spiricon SP928 beam profiling camera. The shielding chamber was purged with argon until the measured oxygen concentration was $<0.1\%$. The experiments were performed with an exchange of clean argon into the chamber between experiments, exhausted through a water bath to remove potentially harmful nanoparticles produced by the process. The pressure in the chamber remained at 1 atm.

A Photron Fastcam Mini UX100 monochrome camera was used for high-speed imaging. The full resolution for this camera is $1,280 \times 1,024$ pixels up to 4,000 fps. The direct imaging experiments reported here were recorded at 8,000 fps and $1,280 \times 616$ pixels. The camera was fitted with a C-mount QiOptiq Optem Fusion lens, configured to provide a zoom of 7:1 and a working distance of 135 mm. At this working distance, the region of interest could be varied between approximately $14 \times 11 \text{ mm}^2$ (depth of field 2 mm) and $2 \times 1.5 \text{ mm}^2$ (0.2 mm).

The top viewing window was used for direct imaging from above the powder bed with the camera angled at $\sim 20^\circ$ to the vertical. One side window was used for direct imaging from the side of the powder bed, with the camera positioned at approximately 10° to the horizontal. For the side views, an infra-red absorbing filter was placed in front of the camera lens. The camera was mounted on a tilt stage to change the area of the powder bed that was imaged, which enabled multiple areas to be scanned and imaged on the same coupon. This feature was particularly useful for side imaging with high magnification and a small depth of field: the tilt stage was used to select the build region on the powder bed followed by a fine adjustment of the lens focus as necessary. Illumination was provided by a 300 W tungsten filament lamp that was focussed through the top of the Perspex shielding chamber on to the powder bed in a rectangle of approximately $15 \times 5 \text{ mm}^2$. The illumination was switched on for a few seconds during imaging and produced negligible heating of the powder bed.

We have previously reported schlieren imaging to view and optimize shielding gas coverage during welding applications [15,16]. Schlieren imaging enables refractive index gradients due to temperature, pressure and concentration gradients to be visualized. The portable z-type schlieren system was incorporated into the metal PBF system with minimum modifications and so it will not be described in detail here. The key components of the schlieren illumination were a 300 W tungsten lamp that was focussed on to a $2 \times 5 \text{ mm}^2$ rectangular source slit with its long edge vertical. The slit was placed at the focus of the first schlieren mirror (focal length 1.27 m) to produce a collimated beam that passed across the powder bed via the windows in the side of the Perspex shielding chamber, Fig. 1. A second, identical schlieren mirror focussed the collimated beam to produce an image of the source slit on a vertical, knife-edge filter. The knife-edge filter was positioned with a micrometer to block 50% of the image of the source slit in the horizontal (x) direction, producing images with a uniform measurement range in which the intensity was proportional to the gradient of the refractive index $\partial n/\partial x$. Schlieren images were recorded with the high-speed camera using a variable focus telephoto lens (focal length 75 to 300 mm) at 16,000 fps and $1,280 \times 312$ pixels. An additional infra-red absorbing filter, and a polariser to remove glare, were placed in front of the camera lens.

3. Multiphysics model including laser plume and inert atmosphere

We have used the scientific literature concerning evaporative and plasma phenomena that occur in laser welding and laser ablation studies to establish a basis for such analyses in the context of laser PBF. Hydrodynamic modelling of the laser-induced plume, comprised of metal vapour and plasma was presented in [17–20]. In such models, the Knudsen layer approach is commonly used to model the plume (combined vapour and plasma) flow into the atmosphere through a contact discontinuity at the substrate-gas interface. The plume velocity is represented as some fraction of the speed of sound in the gas at its

elevated temperature. Modelling of the laser plume for a powder bed or in the context of PBF has not previously been taken into consideration.

To simulate the dynamics of the gas and plasma phases that occur due to the thermal effects during PBF, an FE analysis was carried out using COMSOL multiphysics software. A 2D axisymmetric geometry including the substrate, powder layer and adjacent atmosphere was generated. The mesh was locally refined at the innermost edge of the powder bed so that at least 30 nodes were created through its depth, resulting in minimum element sizes of $\sim 1.5 \mu\text{m}$ for a $70 \mu\text{m}$ layer under the laser beam. Standard Lagrangian triangles were used across all domains, with a 2.5% growth rate in element size from the centre of the laser beam up to a maximum of $12 \mu\text{m}$ in the powder layer and 0.25 mm in the substrate and atmosphere.

For the substrate and powder layer, the thermophysical properties of grade 304 and 316 stainless steel respectively were input as a function of temperature [21]. In modelling the powder layer, a volume-averaged approach was used for temperatures below melting, after [12]. For an average packing density $\phi = 0.6$ in the powder layer, the equivalent thermophysical properties were written as:

$$\xi_{\text{powder}} = \phi \xi_{\text{steel}} + (1 - \phi) \xi_{\text{Argon}} \quad (1)$$

Although the thermal conductivity of the powder might be exaggerated in this manner at relatively low temperatures, the fluid dynamic phenomena of interest to this study occur at much higher temperatures, where the liquid and gas phase material properties are used. Therefore, the use of volume averaged properties does not undermine the accuracy of the prediction for evaporation phenomena. Using actual powder thermophysical properties is however essential for simulations investigating the melt pool size or residual stress development. The properties used in the fluid domain above the powder bed were those of an Ar-Fe gas/plasma mixture in local thermodynamic equilibrium (LTE). The values were therefore input as a function of temperature and Fe mass fraction, taken from [22].

The partial differential equations (PDEs) used in the model are given below using vector notation. Vectors are represented in bold, while constitutive properties used as a function of temperature and Fe mass fraction are in italics. For fluid flow, the compressible Navier-Stokes equations for momentum (2) and mass (3) conservation were used:

$$\rho(\mathbf{u} \cdot \nabla \mathbf{u}) = \nabla \cdot [-p + \mu(\nabla \mathbf{u} + (\nabla \mathbf{u})^T)] + \rho \mathbf{g} \quad (2)$$

$$\nabla \cdot (\rho \mathbf{u}) = 0 \quad (3)$$

where ρ is the density, \mathbf{u} the flow velocity, p the pressure, μ the dynamic viscosity and \mathbf{g} the acceleration due to gravity. In order to better capture the turbulence due to the laser plume, but also to expand the stable solution space of the model, the RANS SST turbulence model was used.

The Knudsen layer approach gives the velocity magnitude of the laser plume normal to the powder bed surface based on the local sound speed:

$$|\mathbf{u}| = M \sqrt{\frac{\gamma K T}{\omega_1 m_{\text{Ar}} + \omega_2 m_{\text{Fe}}}} \quad (4)$$

where M is the Mach number, $\gamma = 5/3$ is the heat capacity ratio for a monoatomic gas, K is the Boltzmann constant and m_{Ar} , m_{Fe} are the atomic masses and ω_1 , ω_2 the mass fractions of Ar and Fe, respectively. The Mach number was assumed to vary linearly based on the incident laser radiation, from $M = 0.01$ at an intensity of 10^5 W/cm^2 , to $M = 1$ for a flux of 10^7 W/cm^2 [23]. On the outer flow boundaries, an outflow condition was specified by setting the normal diffusive flux equal to 0 at atmospheric pressure.

Extending an approach employed in state-of-the-art arc welding simulations [10,24], an additional set of conservation equations were added to model the convective and diffusive modes of transport of the vaporized gas. The mass fraction of the i -th constituent ω_i is given by:

$$-\nabla \cdot \left(\rho D_i^o \nabla \omega_i + \rho \omega_i D_i^o \frac{\nabla M_n}{M_n} + D_i^T \frac{\nabla T}{T} \right) + \rho (\mathbf{u} \cdot \nabla) \omega_i = 0 \quad (5)$$

where D_i^o is the ordinary diffusion coefficient and D_i^T is the thermal diffusion coefficient while M_n is the sum of the molar masses of all the constituents. Equation (5) was solved for species ω_2 (Fe) and the remaining mass was assigned to species ω_1 (Ar). An additional contribution $D_{iT} = \mu_T / Sc_T$ to the diffusion coefficient of each species was added to account for turbulent mixing of small eddies, where μ_T is the Reynolds-averaged turbulent kinematic viscosity and $Sc_T = 0.7$ is the turbulent Schmidt number. The Fe mass fraction at the powder surface was defined based on the vapour pressure and molar masses of Ar and Fe as [24]:

$$\omega_2 = \frac{P_{\text{vap}} M_{\text{Fe}}}{P_{\text{vap}} M_{\text{Fe}} + (P_{\text{atm}} - P_{\text{vap}}) M_{\text{Ar}}} \quad (6)$$

For the process thermodynamics, an energy balance was used to account for conductive, convective and radiative heat transfer.

$$\rho c_p (\mathbf{u} \cdot \nabla T) = \nabla \cdot (k \nabla T) - 4\pi \varepsilon_N \quad (7)$$

The last term of equation (7) is solved only within the gas/plasma domain, and accounts for the total radiative loss from the Ar-Fe plasma across all wavelengths. The plasma was assumed to be optically thin, and the net radiative emission coefficient ε_N was taken as a function of both temperature and mass fraction from [25]. Based on the literature [20,26], as well as in-house numerical solutions of the Saha equation and calculation of the relevant absorption coefficients, inverse bremsstrahlung absorption of the incident laser beam at 1070 nm for temperatures < 10,000 K is negligible and therefore was not included in the model. For the substrate and powder layer, no convection term was used as the melt pool motion was omitted for this study and translational motion cannot be conveyed in axisymmetric models.

The laser radiation reaching the powder layer was modelled as a Gaussian heat source with intensity:

$$I = \frac{2AP}{\pi R^2} \exp\left(\frac{-2r^2}{R^2}\right) \quad (8)$$

where $A = 0.6$ is the absorption of the powder layer [33], P is the laser power and R is the beam radius. The heat lost from the metal surface due to evaporation is given by $q = -\dot{m}_{\text{vap}} L_{\text{vap}}$, where L_{vap} is the heat of vapourisation and \dot{m}_{vap} is the Fe vapour mass flowrate, based on the vapour pressure P_{vap} and temperature, written as [21,27]:

$$\log(\dot{m}_{\text{vap}}) = 2.52 + \log(P_{\text{vap}}) - 0.5 \log(T) \quad (9)$$

$$\log(P_{\text{vap}}) = 6.121 + \frac{18836}{T} \quad (10)$$

Gray-body radiative energy losses were prescribed for all solid surfaces, including the powder-substrate boundary, given by $q = -\varepsilon \sigma (T_{\text{amb}}^4 - T^4)$, where $\varepsilon = 0.4$ is the emissivity of stainless steel and σ is the Stefan-Boltzmann constant. The temperature at the outer boundaries of the powder and substrate was set at 300 K. To account for ambient convection, the total losses at the gas/plasma outer boundaries were prescribed as $q = -h(T_{\text{amb}} - T)$. A convective heat transfer coefficient of $h = 50 \text{ W/m}^2$ was used.

4. Results

The high-speed direct imaging results are divided into single track and multilayer builds in the following sections. These are followed by sections for the results of high-speed schlieren imaging and finite element modelling. All the results in this section were recorded without a flow of shielding gas across the powder bed in order to focus attention on the gas movement due to the laser's interaction with the powder bed. The effect of an additional flow of shielding gas across the powder bed is introduced in the Discussion section.

4.1 High-speed imaging: Single tracks

Fig. 2 and Videos Fig. 2(a)-2(f) show high-speed imaging for side and top views of the powder bed during laser scans of individual tracks. Three different laser power and scan speed combinations are shown: 50 W and 0.1 m/s, 100 W and 0.5 m/s, and 200 W and 1 m/s. The 100 and 200 W laser power conditions have the same line energy (laser power divided by scan speed) at 200 J/m while the 50 W condition has a line energy of 500 J/m. The 50 W and 100 W laser power conditions have been shown to build parts with >99% density [13]. These results are for the first powder layer of thickness 50 μm spread on the coupon. We had access to only one high-speed camera and so the side and top views were recorded in separate experiments.

The results show that the direction of spatter ejection with respect to the laser scan direction and the denudation mechanism both change with process parameters. At the 50 W condition, the plasma jet is established forwards with respect to the laser scan direction. The induced flow of the ambient gas entrains powder particles in towards the melt pool from all directions on the powder bed. Entrained powder particles are either consolidated into the track or ejected forwards, due to the inclination of the plasma jet and induced gas flow, as seen in the side view Fig. 2(a). At the 100 W condition, the plasma plume and spatter are directed predominantly vertically upwards, resulting in less momentum in the shield gas flow at the powder level and consequently less denudation. At the 200 W condition, the plasma and spatter are directed backwards with respect to the scan direction. The plume is at a sufficiently low angle that it impinges directly on the powder bed and causes denudation by blowing particles *away* from the track.

4.2 High-speed imaging: Multiple layer builds

Multiple layer builds were undertaken at laser power and scan speed of 100 W and 0.5 m/s, respectively. Fig. 3 and Videos Fig. 3(a)-3(b) show high-speed imaging for side views of the first and second layers of a rectangular island of approximately 2.6 x 1.8 mm². The powder layer thickness for the first layer was again $t_{\text{sp}} = 50 \mu\text{m}$, which was determined by the height of the powder spreader above the coupon surface. The z-stage was lowered by $z_l = 40 \mu\text{m}$ between layers from which the powder layer thickness for each layer is calculated [13] and given in the caption for Fig. 3. The scan spacing was $s = 60 \mu\text{m}$ between adjacent tracks, corresponding to a scan spacing factor $s/2d$ of 0.6, where d is the laser spot diameter. The laser was switched off for $\sim 500 \mu\text{s}$ at the end of each line before returning in the opposite direction; a rectangular outline to the island was not scanned at the start.

For the first track of layer 1, Fig. 3(a) shows vertical ejection from the melt pool as expected. From the second track onwards the laser plume and entrained particles are angled away from the previously melted track. Powder particles and agglomerates alike are entrained by the shield gas flow induced by the laser plume: their exact motion on and above the powder bed is determined by the specific scan strategy of the laser. The videos show instances where these agglomerates are melted directly into the track or melted into larger spherical beads in the atmosphere. Both views emphasize the dynamic nature of the powder bed when moving from single track to island scanning and the importance of the laser plume in driving that process.

Fig. 4 and Videos Fig. 4(a)-4(d) show top views whilst building the rectangular island through multiple layers using the same laser scan parameters. The same region of the powder bed was imaged as the layers were built and the scan direction was rotated by 90° between successive layers. For the first layer, Fig. 4(a), the first scan line shows relatively little denudation and the laser plasma is vertical as observed in Fig. 2(b) and 2(e). From the second scan lines onwards, the laser plume points away from the previously built, adjacent track as observed in Fig. 3(a). As the first layer progresses, the video shows an increase in temperature around the melt pool, through increased emission of light from plasma in the laser plume, and the denudation increases. For subsequent layers in the build, Figs. 4(b)-4(d), the denudation is less severe as the layer number increases, both between adjacent tracks in a layer and around the edges of

the island. This decrease in denudation is in part due to the increase in powder layer thickness between layers as it evolves towards the steady state thickness. By the sixth layer, Fig. 4(d), the powder layer thickness is already 89.5% of the steady state value of $\sim 130 \mu\text{m}$ [13]. Additional images sequences recorded for layers seven through ten showed no significant change in behaviour from that of layer six. At the steady state powder layer thickness, it appears that there is sufficient powder adjacent to the track for it to roll towards the track without leaving a completely denuded region. However, the rough surface of the previously built layer also seems to contribute towards decreasing denudation compared to the first layer, which is discussed later.

4.3 Schlieren high-speed imaging

Schlieren imaging was conducted for the first layer only. This was because the powder reservoir and the spreader block obstructed the collimated schlieren illumination passing across the powder bed. Hence, for the schlieren experiments only, the spreader block assembly was used to spread a powder layer on the coupon and was then carefully removed. The Perspex shielding chamber was fitted and purged with argon.

Fig. 5 and Videos Fig. 5(a)-5(c) show high-speed schlieren imaging for single track laser scans across the powder bed at the three laser power and scan speed combinations used in Fig. 2. The images were recorded at 16,000 fps, but the video playback speed has been doubled to produce the same apparent time dilation as for Videos Fig. 2. The telephoto lens gave a lower magnification than for direct imaging of the powder bed in order to capture the gas motion above it. Therefore, individual cold powder particles cannot be resolved but the refractive index gradient around hot particles expelled by the laser plume can be seen. The direction of the laser plume and ejection of entrained particles with respect to the laser scan direction for the three process conditions is consistent with Fig. 2. At the 50 W condition, the low scan speed combined with the forward plasma ejection causes the laser beam to traverse a considerable distance of heated gas, metal vapour and plasma before it reaches the powder bed surface. It is not possible to separate the relative contribution due to temperature, pressure and metal vapour concentration on the measured refractive index gradient from the images. However, these refractive index gradients are undesirable as they can contribute to process instability through defocus and lateral wander of the laser spot. At the 100 W condition, the laser beam is apparently just in front of the region of high refractive index gradients once the large convection plume from the initial laser incidence on the powder bed has been cleared. Circular plumes of vapour can be seen when vertically ejected particles are vaporized by the laser beam. For the 200 W condition, interaction between the laser beam and the heated gas, metal vapour and plasma is not an issue due to the high laser scan speed, although these conditions were previously seen to produce a balled track.

Fig. 6 shows a composite schlieren image sequence at the times indicated after initial illumination of the powder by the laser for a single track laser scan across the powder bed towards the camera, taken from Video Fig. 6. Upwards momentum carried by the plume is imparted to the surroundings, as indicated by the observed convection front while radial gas motion is induced by the eddies trailing it. Dark lines in the initial convection front are particularly clear, and are due to entrained iron vapour. They are also visible in the Video Fig. 5(a). The plume of heated gas continues to be fed by the laser plume: intermittent bursts of gas and vapour could be caused by laser-supported absorption waves (LSAW) although the spatial and temporal resolution of these measurements is insufficient to resolve directly the shock front or light emission associated with those events.

4.4 Laser plume and inert atmosphere modelling

The top row of Fig. 7 shows temperature distributions for the laser-induced plumes at three laser powers. The maximum predicted temperature, located at the plume's centre, ranges from $\sim 5000 \text{ K}$ at 50 W

to $\sim 7,000$ K at 200 W. In the vertical direction of the fluid domain, the temperature decreases to under 500 K after 3, 8 and 16 mm for 50, 100 and 200 W respectively. This rapid decrease is a consequence of the high upwards convective heat transfer in the laser plume which increases with power and results in a characteristic oval-shaped distribution of the temperature profile. In the powder layer and substrate, the high temperatures at the boundary drop sharply and the computed field is similar to FE results in the literature. However, due to the lack of convective motion in the powder and substrate, the lack of free surface deformation and keyhole formation, and an effective radiative absorption coefficient in the z-direction in the powder layer [28], the penetration into the substrate is underestimated.

The bottom row of Fig. 7 shows velocities in the fluid domain. The colour distribution shows the high velocities associated with the laser plume due to the evaporation in the Knudsen layer. The magnitude of the plume's velocity scales linearly with power, ranging from approximately 300 m/s at 50 W to 1200 m/s at 200 W. However, the jet velocity decelerates exponentially in the z direction, reaching ~ 100 m/s over an upwards distance of 0.15 mm for 50 W, 1.1 mm for 100 W and 2.2 mm for the 200 W case. The arrows in each case indicate the much lower velocities of the Ar atmosphere that are induced by the laser plume. As dictated by momentum conservation, a radial flow field is generated in the Ar atmosphere. Upwards momentum carried by the plume is imparted to the surroundings, while radial gas motion is induced via momentum conservation, consistently with the mechanism observed in the schlieren images, Fig. 6. The radial flow exerts drag forces on particles in the powder layer, resulting in a net particle motion towards the laser and denudation observed experimentally. Fig. 8(a) shows a plot of this radial component of the flow of the Ar atmosphere at 100 W and at different z heights above the top of the powder layer. The velocity is highest closer to the bed and decreases with height, due to the maximum momentum being located at the Knudsen layer. The variation of the velocity with height suggests that a swirl is imparted in the particles, which is consistent with the observed spinning motion of particle agglomerates. At all z heights, the radial plume-induced flow of the Ar gas is mainly inwards towards the laser, i.e. negative values. Its effect extends to ~ 0.2 mm from the centre of the laser beam, which is consistent with the extent of the denudation observed experimentally. A short region of flow reversal is predicted between approximately 25 – 50 μm from the centre of the laser beam, i.e. approximately between the edge of the laser spot and the edge of the melt pool. The flow reversal is mainly due to a pressure gradient which develops at the edges of the fast laser plume, caused by flow stagnation and associated with the jet's wake. Additionally, the change in density from a Fe rich mixture to mostly Ar in this region accentuates this effect, Fig. 8(b). This suggests that a radial deceleration is experienced by entrained particles approaching the melt pool, promoting upwards ejection. Additionally, particles caught in the wake with no prior momentum are ejected upwards and outwards.

Fig. 8(b) plots the Fe concentration at the powder bed surface against radial distance from the centre of the laser spot. Vapour generation scales almost linearly with laser power in the range simulated, and is concentrated almost entirely within the laser spot radius where temperatures exceed the vaporisation point. Due to the centralised maximum of the temperature distribution, the maximum Fe concentration (16,000 ppm) is located at the axis centre. Fig. 10(a) shows the upwards transport of the vaporized Fe stream. It has a steep concentration gradient, reducing to < 1000 ppm within 1 mm in the z-direction, suggesting that despite the high velocity ejection, the majority of vaporized Fe remains close to the powder bed.

5. Discussion

This research shows the importance of including the laser plume and ambient atmosphere for a full understanding of the PBF process, and in the numerical models used to describe it, because of the influence on denudation but also on the production and subsequent transport of debris. The plume includes Fe vapour and an optically thin plasma of metal ions ($\sim 2\%$ degree of ionisation at 200 W). The

plume velocity is of the order of several hundred m/s and scales with laser power. The laser plume induces an inwards flow in the surrounding atmosphere that reaches velocities of the order of tens of m/s. This flow exerts a significant drag on powder particles and agglomerates in the proximity of the melt pool, altering the local particle distribution and powder availability. When scanning islands, the temperature build-up increases the density of the plasma and the number of powder agglomerates that form. Agglomerates can also be affected by the laser plume-induced flow: they can be drawn back into the melted track, presumably with an increased likelihood of producing porosity, or ejected into the atmosphere and produce large molten beads if they interact sufficiently with the laser beam, hot gas, or a combination of both. Particles that are “heat-treated” and ejected act as an inclusion if reincorporated into the build at a later time, due to microstructure mismatch and oxide layer formation, as shown by previous studies for maraging steels in [29], stainless steel, Al-Si10-Mg, and Ti-6Al-4V in [30] and Ni-based superalloys in [31]. These entrained powder particles, agglomerates and larger solidified beads are also routinely found in the filters of commercial PBF systems, which is explained by these results. When building multiple layers, the denudation caused by the plume-induced flow of the atmosphere becomes less significant as the powder layer thickness increases towards its steady state value.

The motion of particles entrained in the flow of the inert atmosphere immediately around the melt pool produced by the high-speed vapour jet has been observed previously [4,6,7]. Our description of the jet as a laser plume recognizes that it contains plasma in addition to metal vapour, which is consistent with our introduction of hydrodynamic modelling of the fluids above the powder bed. Entrained particle motion has been proposed as a mechanism for denudation [4] but the results presented here are the first direct observation of this denudation process. Entrained particles are generally drawn towards the melt pool, Figs. 2(b) and 2(d), but we also observed denudation arising due to particles being blown away from the melt pool, Fig. 2(f). The diameter of the induced flow increases with distance from the melt pool, and for the laser scan conditions in Fig. 2(f), it was angled backwards sufficiently to impinge directly on the powder bed and blow particles away from the scan track. It is perhaps worth emphasizing that denudation due to particle motion away from the melt track was inferred in [4], but that was only at very low ambient pressures and was therefore due to uniform expansion of the Fe vapour and not due to the angle of the plume.

The evolution of vertical to backwards ejection of material from the melt pool as the laser scan speed increases has been observed in laser welding [32] and PBF [4,5]: the ejection direction is taken to be normal to the surface of the melt pool under the laser spot which varies due to a complex interaction of the laser plume recoil pressure and the liquid metal, as indicated by the schematics in Figs. 2(d) and 2(d). We also observed the laser plume directed forwards from the melt pool for the first time, Fig. 2(b). Previous studies have reported particle ejection from the melt pool in the forward direction, due to interfacial surface tension forces resulting in droplet detachment, rather than the laser plume directed in the forward direction. We believe a forward direction of the laser plume is specific to PBF and is not seen in welding. The presence of additional material (i.e. powder) in PBF enables a build-up of molten material in a bead at the back of melt pool, as indicated by the schematic in Fig. 2(b). We speculate that there is a gradual transition between these regimes, and hence in the direction of the laser plume, in particular from vertically upwards to backwards. Hence the denudation caused by particles entrained in the gas flow could transition from an upwards plume pulling inwards towards the track, observed in Fig. 2(d), through an intermediate stage of a backwards tilted plume still pulling inwards (not observed), to a plume tilted sufficiently far backwards that the flow impinges on the bed and blows away from the track, Fig. 2(f). The onset of Plateau-Rayleigh instability (balling) may be enhanced by the drag forces exerted by the plume on the liquid surface, but the characterisation of this interaction would require a unified model of the melt pool and plume.

The vertical plume could be investigated further as a favourable process setting, both with respect to reduced denudation and uniform direction of spatter within the build chamber. However, the detailed imaging of rectangular islands at 100 W showed that the laser plume tilts away from the adjacent track, which we again attribute to the modified shape of the melt pool. Hence spatter, entrained particles and agglomerates are ejected away from the build towards fresh powder in the bed. The interaction of particles and agglomerates above the powder bed is entirely dependent on the scan pattern of the laser within the island. Particles carried by the flow can be dragged towards the scan line without being sintered or ejected upwards by the flow and can interact with the beam at a later time. This effect becomes more prevalent as the layer number increases and more powder is available in the build area. We have not undertaken a detailed analysis of the particle velocities because only its component in the image plane is available; furthermore, the particles are of different diameters and the force acting on them varies with distance from the melt pool making any inferences rather speculative. Nevertheless, cold particles raised off powder bed typically move at ~ 2 m/s, and the maximum length of image streaks from the ejection of hot particles corresponds to ~ 6 m/s. These speeds are in agreement with the simulated velocity of the induced flow.

The extent of denudation in the first layer can be significant as the powder bed temperature increases and the effects of many adjacent tracks accumulate, Fig. 4(a). Whilst this observation can be taken into account in process planning, its effect should not be over-exaggerated. Firstly, a commercial PBF build typically has ~ 100 sacrificial layers for support structures before layers of the actual component are deposited. Hence relatively small areas in the first layer are actually scanned and the powder can be pulled in from surrounding regions. Secondly, the first layer is relatively thin and is spread directly on to the coupon. The powder layer thickness for the first layer was $t_{sp} = 50 \mu\text{m}$, which is determined by the height of the powder spreader above the coupon surface and the z-stage was lowered by $z_l = 40 \mu\text{m}$ between layers. A geometric series describes the evolution of the powder layer thickness between layers [13]. In the steady state, the melted layer thickness is z_l and the powder layer thickness from which it was produced is z_l/c , where c is the consolidation ratio (equal to the thickness of a melted layer divided by the thickness of the powder layer that produced it). For $c = 0.3$ measured in our system, the steady state powder layer thickness of $\sim 130 \mu\text{m}$ and the denudation is less severe as observed in the results. However, it appears the surface roughness of previously built layers also contribute to the reduced denudation in later layers. In a separate experiment, we spread a thicker first layer of $130 \mu\text{m}$ directly on to the roughened coupon surface. The denudation was less than for the $50 \mu\text{m}$ first layer but larger than for layer 6, because the particles could move more freely on the plane coupon surface compared to a built layer. It highlights that caution is required if trying to extract general conclusions from single track and single layer observations, which are not typical of the conditions observed in building a complete, fully dense part.

Schlieren imaging enabled the effect of refractive index gradients, associated with the laser plume (metal vapour and plasma) and the heated atmosphere, above the melt pool to be visualized. These gradients are undesirable and can cause variations in the laser position and focus. In a commercial system, these hot fluids should be cleared by a flow of shield gas across the powder bed during the process, which can also serve to extract 'airborne' particles and prevent them from landing back on the powder bed. All the results presented so far have excluded this cross-flow, in order to focus on the effects of the plume-induced flow. Indeed, these cross-flows are typically of the order of a few m/s, and so will not interfere with the plasma flow directly. It is instructive to observe the interaction of the laser-plume induced flow with a laminar cross-flow of 10 l/min across the powder bed from the flow-straightener, Fig. 1. Due to the relatively low velocities in the decelerated flow a few mm above the powder bed, a lower frame rate is more suitable for this application. Fig. 9 and Videos Fig. 9 show schlieren imaging with the high-speed camera replaced with a standard CMOS camera operating at 150 fps. An additional 633 nm ± 1 nm full width at half maximum band-pass filter was included to eliminate incandescence from the melt pool and plasma light. Fig. 9(a) shows the plume with no cross draft. Figs. 9(b) and 9(c) show the introduction of a cross draft

for laser powers of 100 and 200 W respectively, which indicate the need to match the cross draft to process setting if the plume and particles are to be removed effectively. Another interesting observation is that ejected particles carry enough upwards momentum so as not to be affected by the cross-flow initially, but are swept to the side upon re-entry to the stream after free-falling. It is difficult to separate the relative contribution due to temperature, pressure and metal vapour concentration on the measured refractive index gradient from these images. However, Fig. 9(d) shows the effect of introducing a helium inert atmosphere. The refractive index sensitivity to temperature and pressure gradients is lower in helium than argon due to its lower density, and as a consequence the metal vapour can be distinguished. A full study of the effect of cross drafts and of the He atmosphere are beyond the scope of this paper, and will form part of future publications. However, this discussion highlights the importance of fluid and particle dynamics on the cross-draft requirements in commercial systems and in the usefulness of schlieren imaging to determine the effectiveness of the extraction system during builds.

The FE model provided a pragmatic first introduction of laser plume hydrodynamics and the atmosphere to the PBF process. Clearly it will be possible to extend this approach in the future, to a time-dependent model incorporating the melt pool. In a similar fashion, existing particle level models could be extended to include the laser plume hydrodynamics. The considerable powder movement we observed in the powder bed can be interpreted as a dynamic powder packing density, which could be incorporated into other common modelling approaches. These include optical ray-tracing for laser energy coupling into the powder bed, the measurement or calculation of the thermal conductivity of a static powder bed and discrete element modelling (DEM) of the particle distribution in a spread powder layer which will be disturbed as the laser spot reaches it.

The time-independent, axisymmetric model already provides realistic plume and induced flow velocities that are consistent with observed particle motion and the extent of denudation observed. Further, calculated fields from the model can be compared with the experimental observations to validate the approach. Fig. 10(a) shows the upwards transport of the vaporized Fe stream which is similar to that observed experimentally, Fig. 9(d). It has a steep concentration gradient, reducing to under 1000 ppm within 1 mm in the z-direction, suggesting that despite the high velocity ejection, the majority of vaporized Fe remains close to the powder bed. The net radiative emission of light across all visible wavelengths from the plasma can be calculated, Fig. 10(b), and shows the characteristic shape of the laser plume observed experimentally. Additionally, a plot of the density gradient $\partial\rho/\partial x$ is proportional to refractive index variations $\partial n/\partial x$. The good match between the resulting 'artificial' schlieren image, Fig. 10(c), and the experimental observations indicate that the calculated gradients of temperature, pressure and composition are representative.

The fluid temperature calculations indicate that sufficient heat is available to cause vaporization or even ionization around particles which reach the inner layers of the plume. It can be inferred that such particles will be enveloped in hot gas due to the Leidenfrost effect, which is consistent with the radiation emitted by the particles in the direct imaging experiments and by the refractive index gradients observed in the schlieren images. The gas envelope around these particles and relatively low calculated local flow velocities suggest that such particles are more likely to be ejected than incorporated into the melt track. However, if they are incorporated, the likelihood of local porosity is increased.

The video sequences provide many interesting local effects which cannot all be discussed individually. A particularly nice example around 150 ms in Video Fig. 3(a) is the formation of a powder agglomerate close to the scan track, which is lifted off the powder bed by a subsequent scan, fused into a molten bead whilst 'airborne' and ejected from the build region. To finish, Fig. 11 shows some other interesting events not in the videos selected for this paper. Fig 11(a) is a clear example of the laser plume at 200W to compare with the emitted light distribution from the plasma in Fig. 10(b). Fig 11(b) shows scattering of the laser beam by ejected particulate from the plume. Fig. 11(c) shows emission from a

partially vaporized particle that was swirling (rotating) as it was emitted. Fig 11(d) shows a Λ -shaped streak, formed by a change in the trajectory of a hot ejected particle due to a collision. High-speed particles which are turned towards the bed in this manner can form impact craters in the powder layer, unsettling nearby particles.

6. Conclusions

The results presented in this work elucidate the dynamic phenomena that arise from the complex interaction between the laser and the molten metal during PBF, which are related to the quality of the manufactured part. The open architecture design of the system enabled detailed high-speed imaging to be performed for single tracks and multiple layer builds, as well as schlieren imaging for flow visualisation. It was shown that the Fe vapour, plasma and induced Ar gas flow determine the pattern in which powder is denuded from the vicinity of the melt pool. Single line scans showed that the direction of particle ejection with regards to the scanned direction changes from forwards to backwards with increasing laser power and scan speed. When the scan speed and power were relatively high, the plume was pointed fully backwards and towards the powder layer, which was heavily disrupted as a result. Upward ejection resulted mostly in particles being pulled towards the laser spot due to the aerodynamic drag. Particles that approached the plume were either sintered or melted and subsequently ejected according to their accumulated heat and momentum. The high temperatures of the plume promoted the formation and ejection of agglomerates (particles sintered together) and large spherical beads (particles fused together) which may result in defects and inclusions if reincorporated into the build after landing. Imaging of the process after several layers showed that denudation has a reduced impact on the powder availability compared to the first layer, due to increased powder layer thickness and surface roughness.

Schlieren imaging enabled the visualisation of the plume, facilitating flow characterisation. It was observed that convection fronts originating from the melt pool propagate in the atmosphere above the powder bed. Momentum was conveyed radially with respect to the plume axis through transient eddies trailing the convection fronts. The jet of vapourised material becomes entrained in the hot gas, accentuating the refractive index gradient presented to the laser. Refractive index gradients were observed around ejected particles, confirming that both heat and momentum is transferred from the plume. High-speed observation of the convection patterns in the atmosphere correlated well with the denudation effect observed through the direct imaging. Vapour jet visibility was enhanced in a He atmosphere, due to weaker refractive index gradients. This difference suggests that heat and mass transfer in the plume can vary significantly with a change in ambient gas, warranting further investigation.

The proposed multiphysics treatment of the plume and induced atmospheric gas flow using a multicomponent plasma approach allowed theoretical analysis of the process's fluid dynamic effects. It was shown that the denuding flow is of the order of a few tens of m/s and acts over a radius roughly ten times that of the laser's. This radial Ar flow is caused by a fast, upwards ejection of Fe vapour and Fe ions with velocities of the order of several hundreds of m/s which rapidly decelerates within a few mm of travel in the Ar atmosphere. The temperatures in the plume were found to be higher than 3,500 K, causing melting and vaporisation of entrained particles that reach the proximity of the melt pool. Fe concentrations of up to 35,000 ppm were predicted in the ambient atmosphere at 10^7 W/cm² laser intensity.

The combined results showed that the inert atmosphere and laser plume are integral to the heat, mass and momentum transfer of the process. Their inclusion in numerical models is critical to process optimisation, to identify parameter sets which result in reduced denudation. Observation of plume and particle behaviour under cross-flow emphasised the importance of uniform extraction streams above the bed, carrying enough momentum to prevent contamination of the bed from ejected particles. Taking hydrodynamic phenomena into account during process planning can improve the overall build quality and limit the adverse effects of ejected vapour and particles.

Acknowledgements

This work was supported by the Engineering and Physical Sciences Research Council (Grant numbers EP/I033335/2 and EP/K030884/1).

Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/XX>

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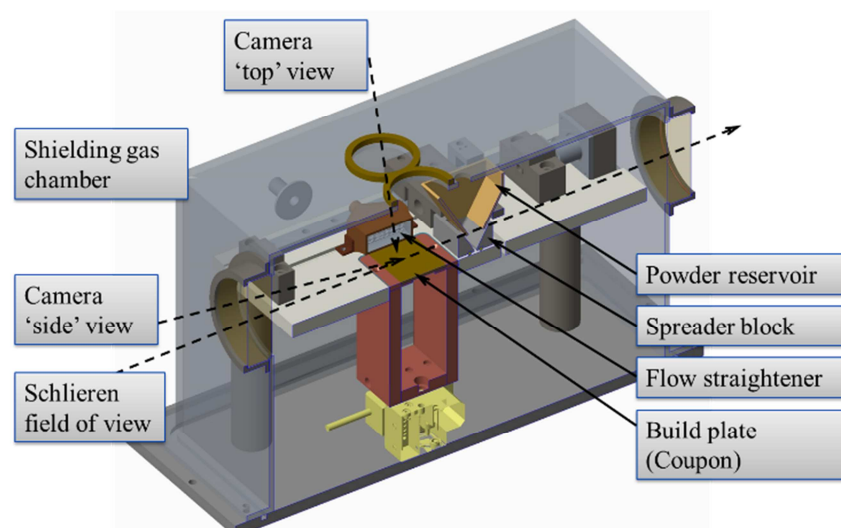


Fig. 1: Schematic of the open-architecture PBF system with modifications for high-speed imaging and schlieren imaging.

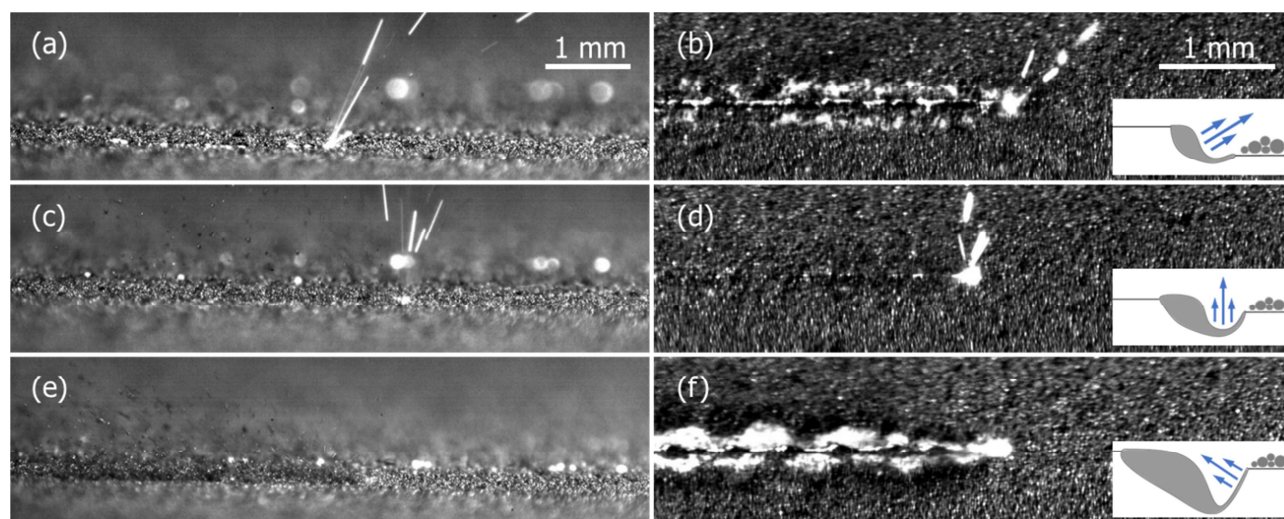


Fig. 2: High-speed images for side and top views when scanning single tracks (left to right scan direction) with laser power and scan speeds of (a) and (b) 50 W and 0.1 m/s; (c) and (d) 100 W and 0.5 m/s and (e) and (f) 200 W and 1 m/s. Inset is a schematic of the melt pool shape, which determines the laser plume direction. The videos for all figures are included in the supplementary material.

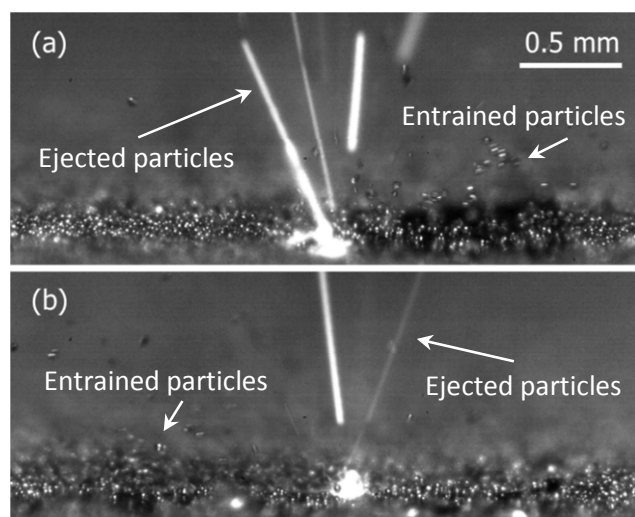


Fig. 3: Side views during island scans at 100 W and 0.5 m/s. (a) Layer 1, laser scan path front to back in the image. (b) Layer 2, scan path left to right. The powder layer thickness is 50 μm and 75 μm for layers 1 and 2, respectively, as it increases towards its steady state value.

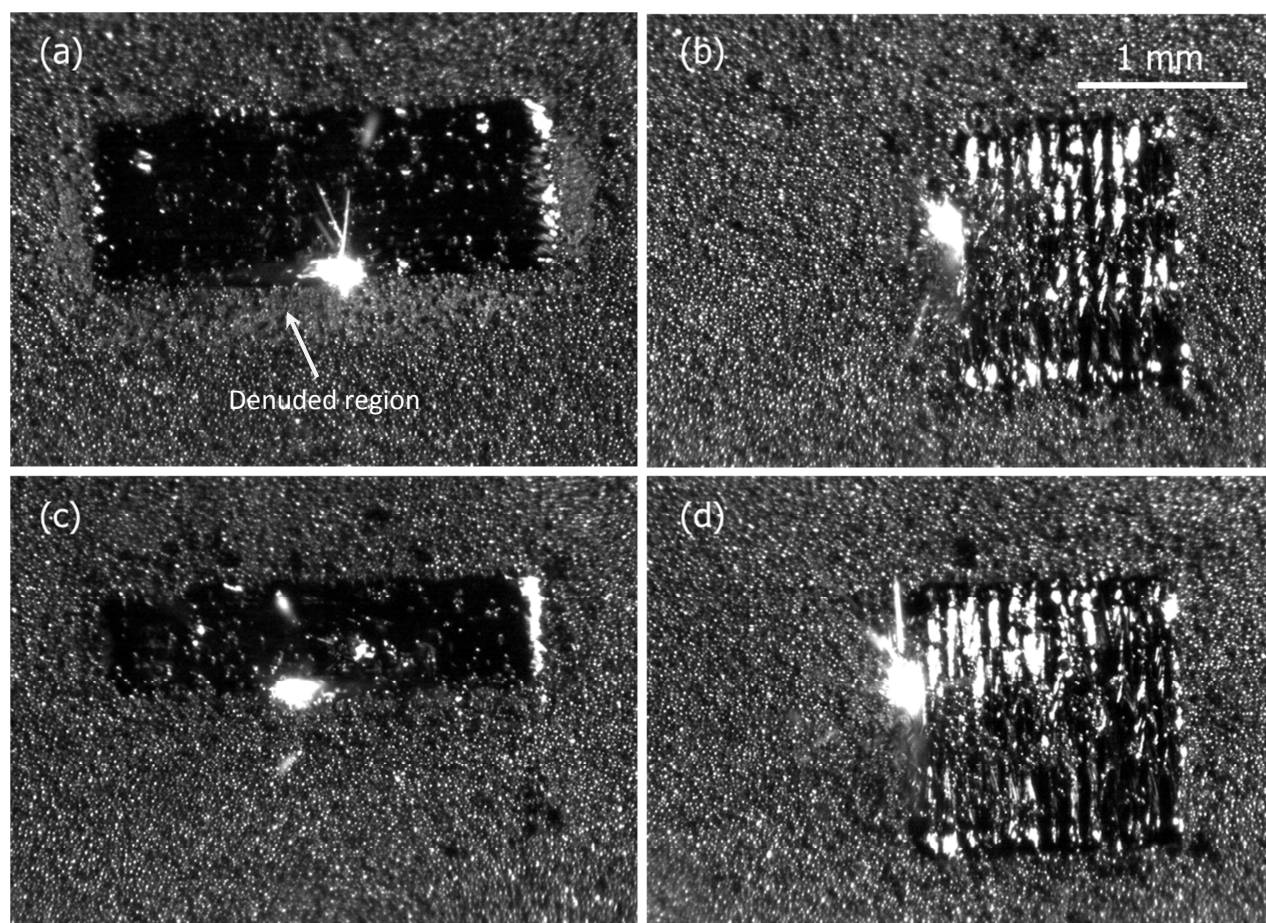


Fig. 4: Top views of island scans at 100 W and 0.5 m/s for (a) layer 1, (b) layer 2, (c) layer 5 and (d) layer 6. Denudation is reduced after the first layer due to the increasing powder layer thickness and the surface roughness of built layers. The powder layer thickness is 113 μm and 119 μm for layers 5 and 6, respectively, as it increases towards its steady state value.

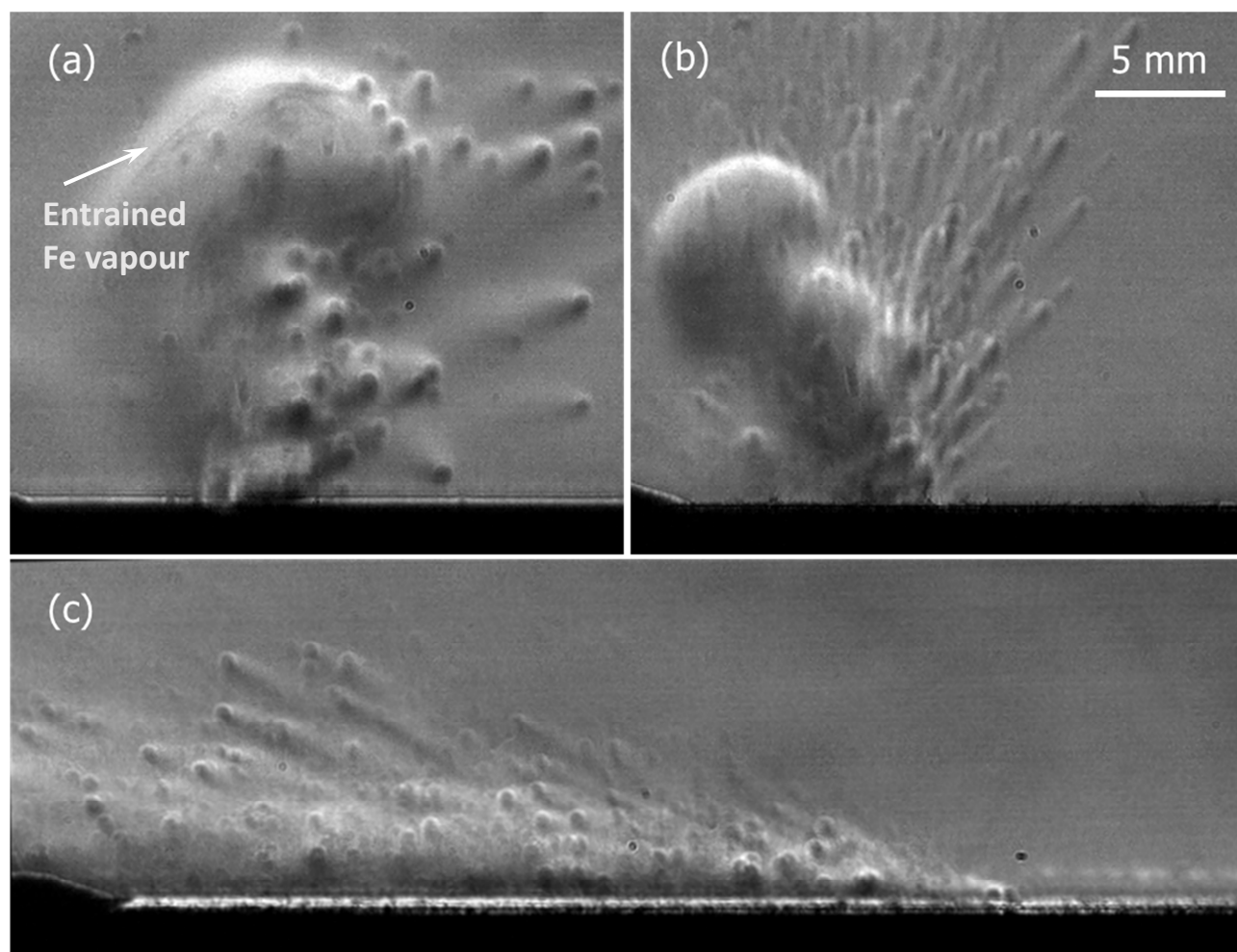


Fig. 5: Schlieren images during left to right line scans at (a) 50 W and 0.1 m/s, (b) 100 W and 0.5 m/s, and (c) 200 W and 1 m/s. The characteristic refractive index gradients due to convection are visible in (a) and (b) but not present in (c) due to the backwards tilt of the laser plume. Entrained Fe vapour is visible behind the convection front in (a).

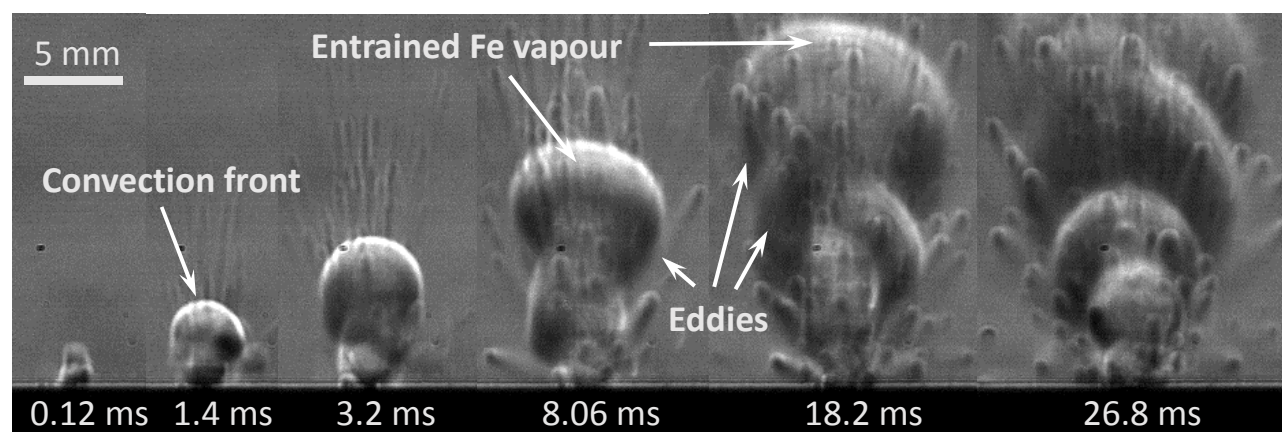


Fig. 6: Composite image of the heated gas rising due to convection at the times indicated for laser power 100 W and scan speed 0.5 m/s towards the viewing direction. Radial momentum is imparted to the atmosphere by eddies trailing the convection fronts.

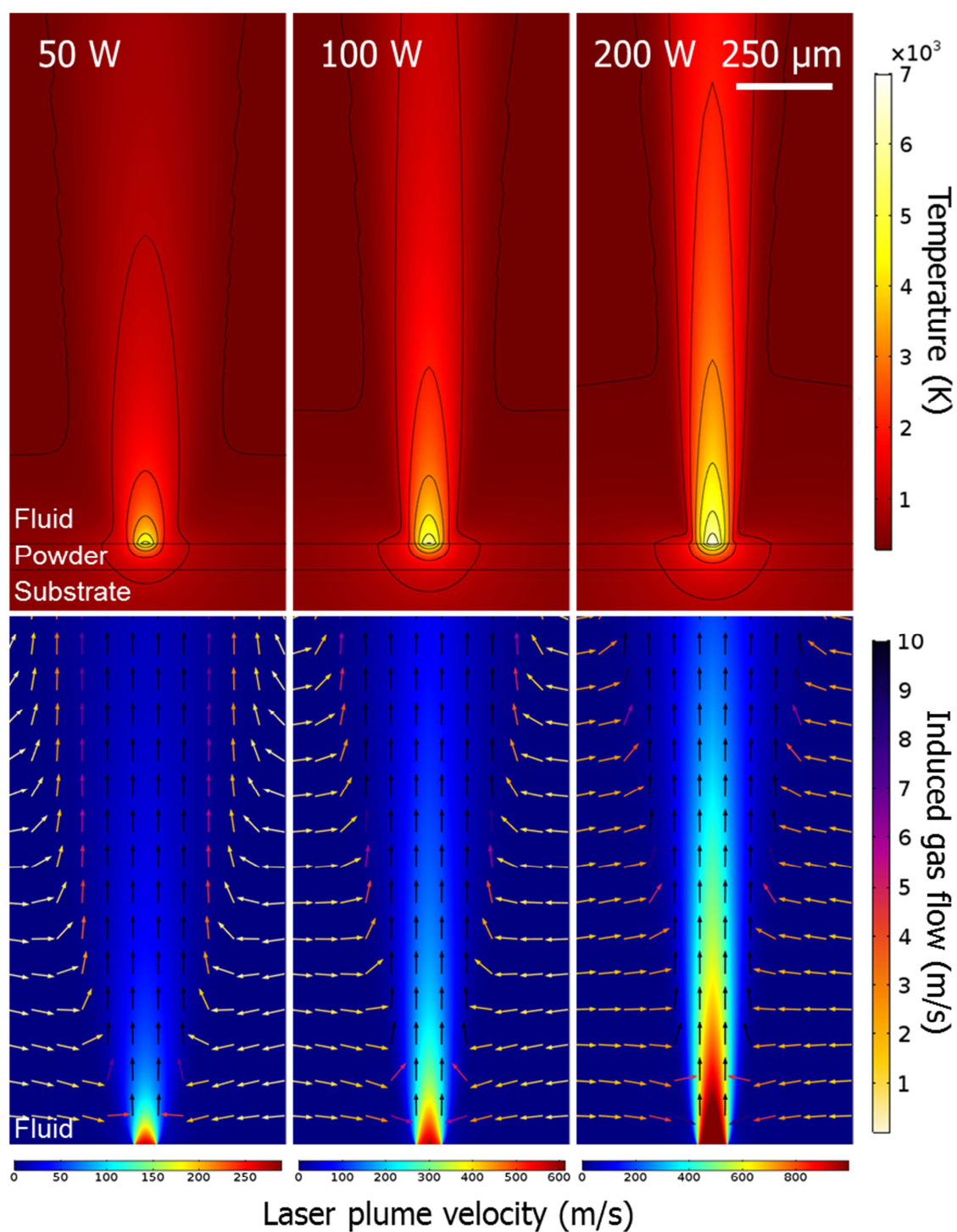


Fig. 7: (Top) Calculated temperature fields for 50, 100 and 200 W laser power. (Bottom) Calculated velocity surface plot of fast laser plume, with arrows showing the slower atmospheric gas flow that it induces.

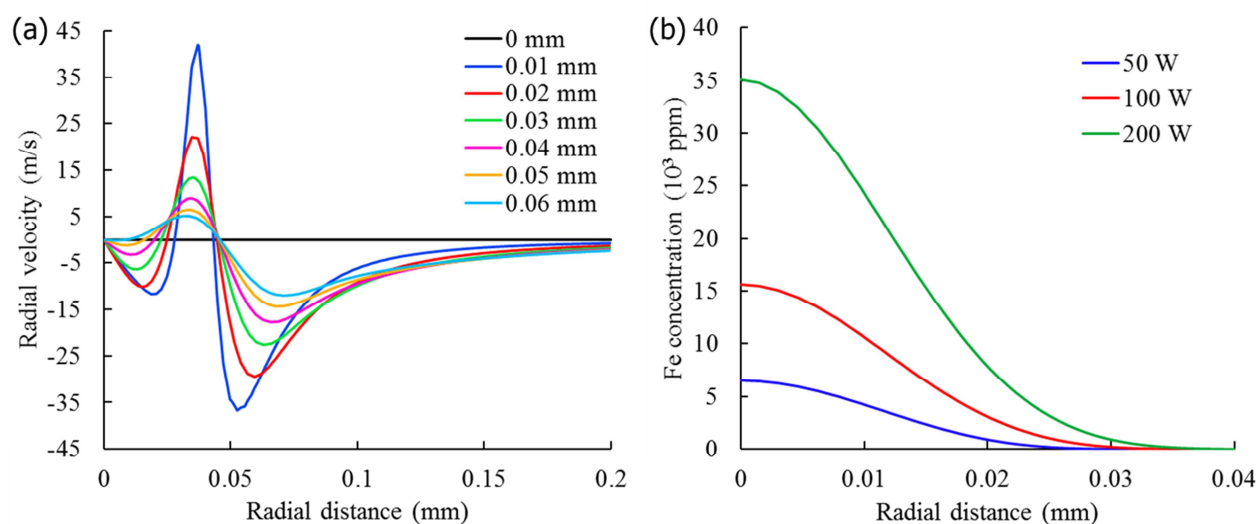


Fig. 8: (a) Radial velocity component of the flow induced in the Ar atmosphere by the laser plume at different z-heights above the powder bed. The denuding flow is faster closer to the Knudsen layer, and carries less momentum with increasing height. A brief flow reversal occurs due to Fe concentration and pressure gradients at the plume's fringes. (b) Fe concentration plot at the Knudsen layer for different laser powers.

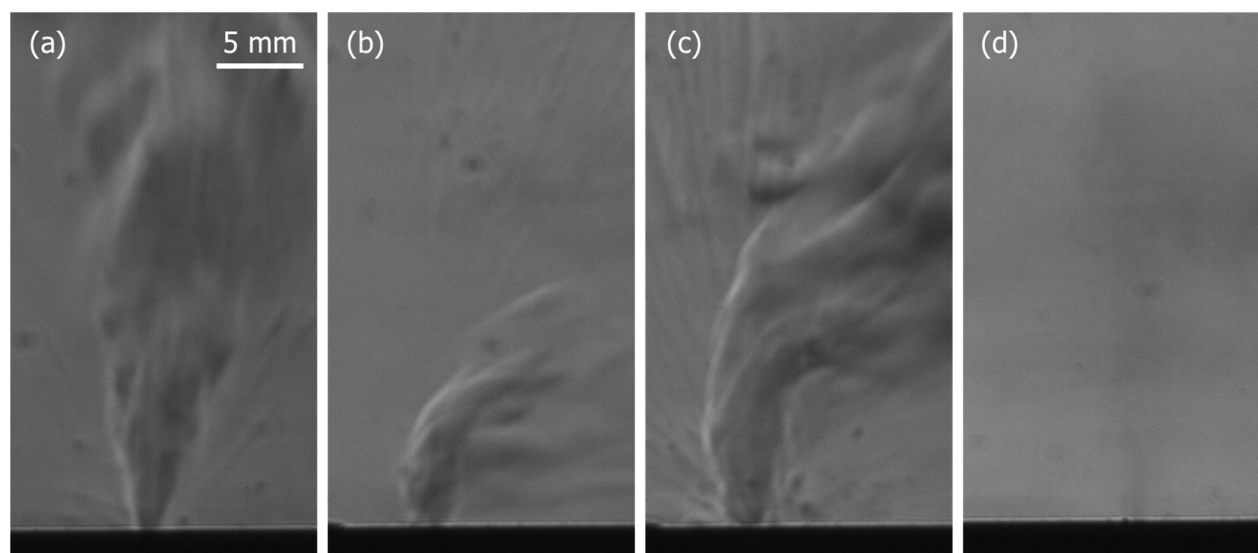


Fig 9: Long exposure schlieren images. (a) 100 W laser power and no cross flow, (b) 100 W laser power with 10 l/min cross-flow, (c) 200 W laser power with 10 l/min cross-flow. (d) Vapour stream in He atmosphere. Refractive index gradients due to temperature and pressure are reduced in He with schlieren sensitivity tuned for Ar.

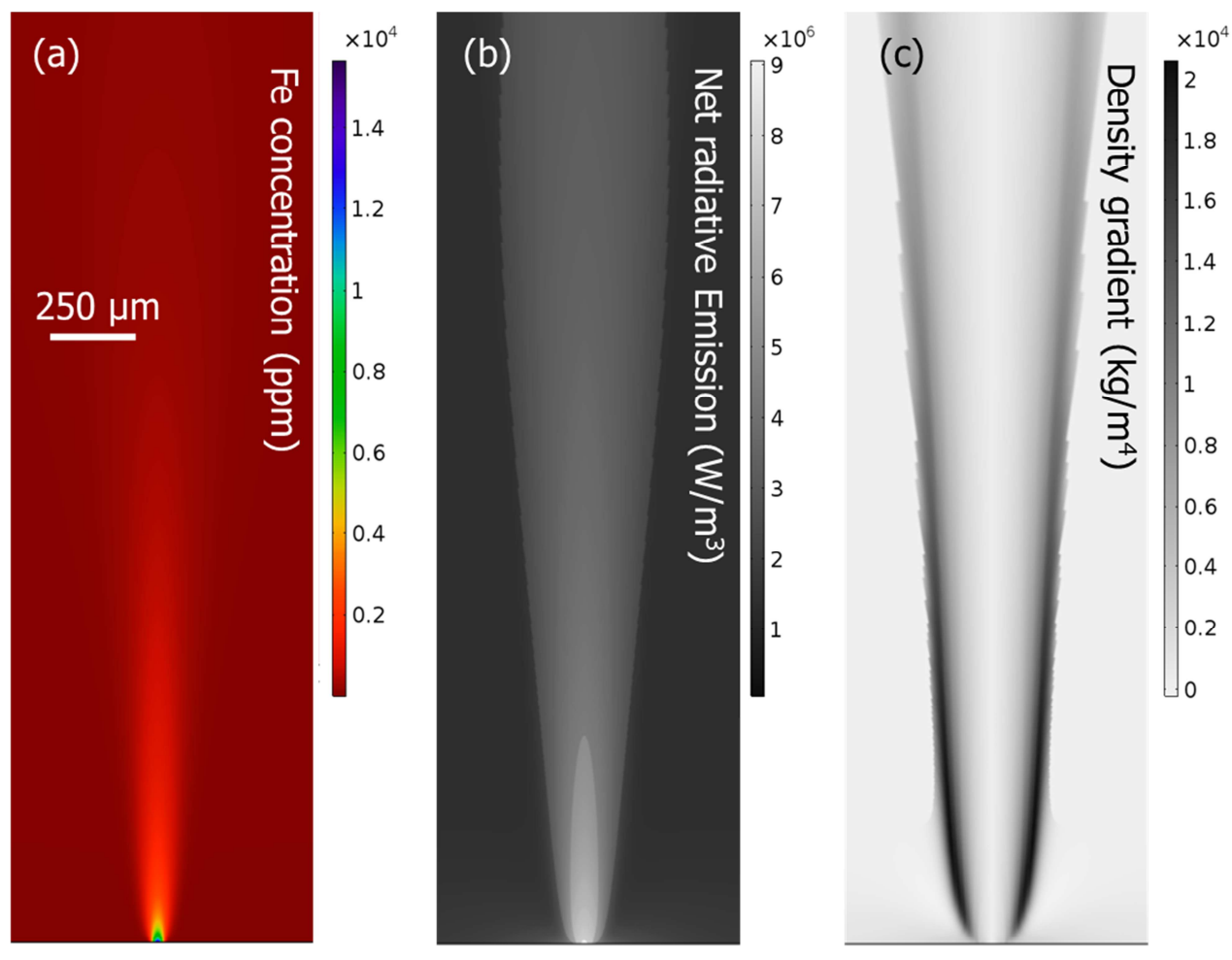


Fig. 10: Simulation outputs at 100 W. (a) Fe concentration plot, showing localised dissipation of generated vapour. (b) Plot of the net radiative emission coefficient term $4\pi\epsilon_N$. (c) Artificial schlieren, generated by plotting the $\partial\rho/\partial r$ density gradient.

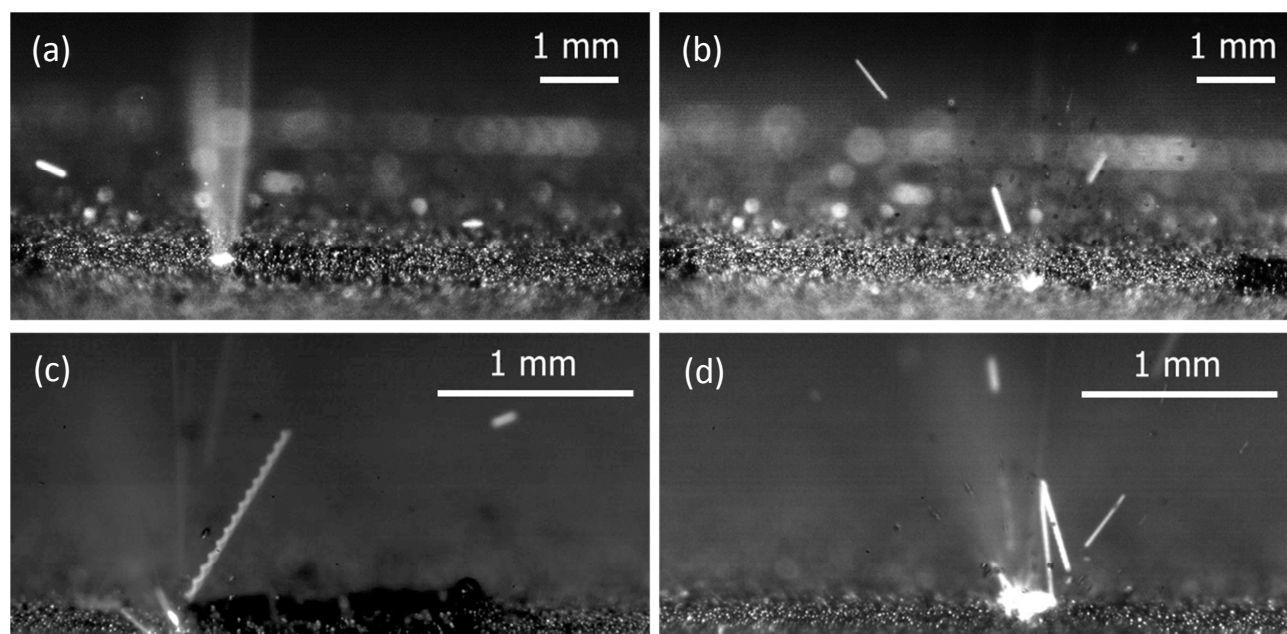


Fig. 11: (a) Laser plume radiation during an island scan at 200 W and 0.5 m/s, where surface temperature is increased. (b) Scattering of the laser beam by ejected particulate from the plume. (c) Swirling hot particle ejection (d) Ejection of hot particle followed by collision, deflecting the particle back towards the bed.